

ORIGINAL PAPER

EGFR/PI3K/AKT/mTOR PATHWAY IN HEAD AND NECK SQUAMOUS CELL CARCINOMA PATIENTS WITH DIFFERENT HPV STATUS

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The aim of the study was to compare prognostic potential of *PIK3CA* mutations and expression of proteins involved in or regulate EGFR/PI3K/Akt/mTOR signaling in HPV16 positive and HPV negative head and neck squamous cell carcinoma (HNSCC) patients.

The expression of proteins (EGFR, Akt, pAkt(Ser473), pAkt(Thr308), mTOR, PTEN, pPTEN, APOBEC3B) were assessed immunohistochemically and *PIK3CA* mutations (p.E542K, p.E545K, p.H1047R) by qPCR.

Significantly more HPV16 positive tumors (89.29%) with low EGFR expression were found as compared to HPV negative ones (58.82%). *PIK3CA* mutations were detected in 7.14% of HPV16 positive and 2.5% of HPV negative cancers. In HPV16 positive patients survival analysis has shown that positive prognostic potential for disease free survival (DFS) had low expression of APOBEC3B. In HPV negative patients prognostic significance for DFS had APOBEC3B, Akt and pAkt(Thr308) levels, and for overall survival (OS) – pAkt(Thr308) only. Independent favorable prognostic factors in the whole group of patients were: low T stage, low pAkt(Thr308) expression, active HPV16 infection (for OS and DFS) and female gender (for OS).

Obtained results suggest the existence of significant differences in expression and prognostic potential of proteins involved in EGFR/PI3K/Akt/mTOR signaling between HPV16 positive and HPV negative HNSCC patients.

Key words: HNSCC, active HPV infection, EGFR/PI3K/Akt/mTOR pathway, survival.

Introduction

The risk factors of head and neck squamous cell carcinoma (HNSCC) development are tobacco use, alcohol consumption and HPV infection. The HPV positive HNSCC is now established as a separate disease with distinct clinical (younger age, higher social status, low levels of alcohol consumption and ciga-

rette smoking, association with high-risk sexual behaviors) and molecular (for example lack of *TP53* and *CDKN2A* mutations) characteristics compared to HPV negative ones [1]. It was also proved that HNSCC patients with tumors related to HPV infection had increased survival after surgical treatment [2], radiotherapy [3] and combined treatment approaches [4] compared to HPV negative ones.

HPV oncogenic activity is related mainly to E6 and E7 viral oncoproteins. E6 initiates degradation of p53 – the tumor suppressor protein involved in regulation of cell cycle, DNA repair and cell death. E7 binds to Rb protein, resulting in lack of G1 checkpoint activity [5]. Viral proteins not only inhibit the tumor suppressors p53 and Rb, but also alter other signaling cascades that may play important role in carcinogenesis and response to ionizing radiation or drugs, such as EGFR/PI3K/Akt/mTOR pathway.

In healthy cells signal begins from the activation of receptor tyrosine kinases family, such as epidermal growth factor receptor (EGFR). EGFR activates phosphatidylinositol 3-kinase (PI3K), leading to its allosteric activation and tyrosine phosphorylation of its regulatory subunit. Activation of PI3K results in phosphorylation of the key effector protein kinase Akt. Active Akt regulates multiple signaling pathways that maintain cell cycle, proliferation and apoptosis. It phosphorylates downstream targets, including mammalian target of rapamycin (mTOR), which plays a central regulating role in protein synthesis, metabolism and cell growth. Phosphatase and tensin homologue deleted on chromosome ten (PTEN), on the other hand, is a key negative regulator of EGFR/PI3K/Akt/mTOR signaling [6].

During carcinogenesis overactivation of EGFR/PI3K/Akt/mTOR cascade is often observed. It leads to uncontrolled growth, angiogenesis, metastatic potential and therapy resistance [7]. There are some experimental and preclinical data [8, 9, 10, 11, 12], which suggest that HPV disrupts EGFR/PI3K/Akt/mTOR pathway through both genes mutations and changes in protein expression of pathway components. HPV associated proteins (mainly E6 and E7) may deregulate EGFR/PI3K/Akt/mTOR functioning directly through influence on the expression of signal transmitters as well as indirectly – activating the apolipoprotein B mRNA editing enzyme catalytic subunit 3B (APOBEC3B). This enzyme catalyzes reaction of cytosine deamination resulting in the conversion of cytosine to uracil and therefore, is a source of multiple mutations in cells including mutations of *PIK3CA* gene, which encodes catalytic subunit of PI3K enzyme [9, 10].

Although there are many reports that have examined EGFR, Akt, PTEN, mTOR and APOBEC3B expressions in HNSCC, there is no comprehensive study of all these markers together in the group of HNSCC patients. This prompted us to study the expression of selected proteins involved in EGFR/PI3K/Akt/mTOR pathway and proteins regulate this cascade (EGFR, Akt, pAkt(Ser473), pAkt(Thr308), mTOR, PTEN, pPTEN, APOBEC3B) as well as the frequency of *PIK3CA* mutations in relation to HPV status in HNSCC patients. Moreover, we determined the relations between studied biomarkers and clinical and

histopathological characteristics as well as analyzed their prognostic potential.

Material and methods

Patients

Formalin-fixed paraffin-embedded (FFPE) specimens were collected from 155 patients diagnosed with HNSCC (25 oral cavity, 66 oropharyngeal, 6 hypopharyngeal and 58 laryngeal squamous cell carcinoma cases), treated between 1991 and 2014 in Maria Skłodowska-Curie National Research Institute of Oncology, Cracow Branch. Detailed clinical and histopathological data were summarized in Table I. The level of smoking was calculated as number of cigarettes per day \times years of smoking. The level of drinking was defined as 'low' for no/occasional alcohol drinkers or 'high' for alcoholics and people drink more than 15 drinks of high percentage alcohol per week. Additionally, status of active HPV16 infection (based on simultaneous assessment of immunohistochemical p16 overexpression and HPV16 DNA presence by nested PCR and qPCR) was assessed for every tumor [13]. For each FFPE, histopathological reverification was performed due to confirm squamous cell carcinoma diagnosis and to indicate blocks with at least 50% of tumor component for mutational and immunohistochemical (IHC) analyses.

IHC staining

The evaluation of EGFR, Akt, pAkt, mTOR, APOBEC3B, PTEN and pPTEN expressions was performed based on immunohistochemically stained FFPE tissue sections. All necessary details of staining procedure are presented in Table II. Briefly, sections were cut at 4 μ m, mounted on SuperFrost Plus slides (Menzel-Gläser, Germany) and then deparaffinized and hydrated through a series of xylenes and alcohols. After antigen unmasking, slides were incubated for 10 min with peroxidase block and 30 min in 0.3% H₂O₂ diluted in 100% methanol (to quench an exogenous peroxidases). Non-specific binding of antibodies was blocked during 5 min incubation with UltraVision Protein Block (Thermo Scientific, Fremont, USA). Next, incubation with primary antibody was performed and section were treated for 45 min with BrightVision Plus Poly-HRP-Anti MS/Rb/Rt IgG detection system (Immunologic, Duiven, The Netherlands) and DAB (3,3'-diaminobenzidine) (Vector Laboratories, Inc., Burlingame, USA). Hematoxylin was applied for nuclear counterstaining. Each step of IHC procedure was followed by washing in tris-buffered saline and Tween 20 (TBST). HNSCC tissues with high expression of wanted pro-

Table I. Relations between EGFR, mTOR, APOBEC3B, PTEN and pPTEN expressions and clinical and histopathological features in HNSCC patients

	EGFR				mTOR				APOBEC3B				PTEN				pPTEN			
	ALL (%) ^a	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)		
All	151 (100.00)	99 (65.56) ^b	52 (34.33)	77 (49.01)	74 (49.01)	102 (67.55) ^b	49 (32.45)	0.119	77 (50.99) ^b	74 (49.01)	0.387	82 (100.00)	42 (51.22) ^b	40 (48.78)	82 (100.00)	42 (51.22) ^b	40 (48.78)	0.925		
Age																				
≤ 52 years	50 (33.11)	30 (60.00)	20 (40.00)	25 (50.00)	25 (50.00)	38 (76.00)	12 (24.00)	0.864	25 (50.00)	25 (50.00)	0.001	25 (30.49)	13 (56.00)	12 (48.00)	25 (30.49)	13 (56.00)	12 (48.00)	0.248		
> 52 years	101 (66.89)	69 (68.32)	32 (31.68)	52 (51.49)	49 (48.51)	64 (63.37)	37 (36.63)	0.901	52 (51.49)	49 (48.51)	0.480	57 (69.51)	49 (48.51)	28 (49.12)	57 (69.51)	29 (50.88)	28 (49.12)	0.925		
Gender																				
Female	24 (15.89)	16 (66.67)	8 (33.33)	5 (20.83)	19 (79.17)	15 (62.50)	9 (37.50)	0.001	5 (20.83)	19 (79.17)	0.001	20 (24.39)	13 (54.17)	11 (45.83)	20 (24.39)	8 (40.00)	12 (60.00)	0.248		
Male	127 (84.11)	83 (65.35)	44 (34.65)	72 (56.69)	55 (43.31)	87 (68.50)	40 (31.50)	0.901	72 (56.69)	55 (43.31)	0.901	62 (75.61)	64 (50.39)	63 (49.61)	62 (75.61)	34 (54.84)	28 (45.16)	0.248		
Status in the Karnofsky scale																				
≤ 80%	87 (57.62)	55 (63.22)	32 (36.78)	43 (49.43)	44 (50.57)	59 (67.82)	28 (32.18)	0.653	43 (49.43)	44 (50.57)	0.653	36 (43.90)	34 (39.08)	53 (60.92)	36 (43.90)	18 (50.00)	18 (50.00)	0.845		
> 80%	64 (42.38)	44 (68.75)	20 (31.25)	34 (53.13)	30 (46.87)	43 (67.19)	21 (32.81)	0.653	34 (53.13)	30 (46.87)	0.480	46 (56.10)	43 (67.19)	21 (32.81)	46 (56.10)	24 (52.17)	22 (47.83)	0.845		
Tumour site																				
Oral cavity	24 (15.89)	14 (58.33)	10 (41.67)	11 (45.83)	13 (54.17)	15 (62.50)	9 (37.50)	0.935	11 (45.83)	13 (54.17)	0.935	21 (25.61)	16 (66.67)	8 (33.33)	21 (25.61)	10 (47.62)	11 (52.38)	0.845		
Oropharynx	63 (41.73)	46 (73.02)	17 (26.98)	34 (53.97)	29 (46.03)	45 (71.43)	18 (28.57)	0.653	34 (53.97)	29 (46.03)	0.653	52 (63.41)	41 (65.08)	22 (34.92)	52 (63.41)	28 (53.85)	24 (46.15)	0.845		
Hypo-pharynx	6 (3.97)	4 (66.67)	2 (33.33)	3 (50.00)	3 (50.00)	4 (66.67)	2 (33.33)	0.935	3 (50.00)	3 (50.00)	0.935	4 (4.88)	4 (66.67)	2 (33.33)	4 (4.88)	2 (50.00)	2 (50.00)	0.845		
Larynx	58 (38.41)	35 (60.34)	23 (39.66)	29 (50.00)	29 (50.00)	38 (65.52)	20 (34.48)	0.918	29 (50.00)	29 (50.00)	0.918	5 (6.10)	16 (27.59)	42 (72.41)	5 (6.10)	2 (40.00)	3 (60.00)	0.917		
The level of smoking ^c																				
≤ 200	33 (21.85)	25 (75.76)	8 (24.24)	12 (36.36)	21 (63.64)	24 (72.73)	9 (27.27)	0.472	12 (36.36)	21 (63.64)	0.472	29 (35.37)	17 (62.07)	16 (62.07)	29 (35.37)	18 (62.07)	11 (37.93)	0.146		
> 200	118 (78.15)	74 (62.71)	44 (37.29)	65 (55.08)	53 (44.92)	78 (66.10)	40 (33.90)	0.057	65 (55.08)	53 (44.92)	0.057	53 (64.63)	60 (50.85)	58 (49.15)	53 (64.63)	24 (45.28)	29 (54.72)	0.146		

Table I. Cont.

	EGFR				mTOR				APOBEC3B				PTEN				pPTEN				
	ALL (%) ^a	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	ALL (%) ^{ad}	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	ALL (%) ^{ad}	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)
The level of drinking																					
No/low	65 (43.05)	47 (72.31)	18 (27.69)	0.129	29 (44.62)	36 (55.38)	0.173	46 (70.77)	19 (29.23)	0.463	31 (47.69)	34 (52.31)	0.481	43 (52.44)	24 (55.81)	19 (44.19)	0.481	43 (47.56)	24 (46.15)	19 (53.85)	0.382
High	86 (56.95)	52 (60.47)	34 (39.53)	0.129	48 (55.81)	38 (44.19)	0.173	56 (65.12)	30 (34.88)	0.463	46 (53.49)	40 (46.51)	0.481	39 (47.56)	18 (46.15)	21 (53.85)	0.481	39 (47.56)	18 (46.15)	21 (53.85)	0.382
T stage																					
1	2 (1.32)	2 (100.00)	0 (0.00)	0.093	1 (50.00)	1 (50.00)	0.998	0 (0.00)	2 (100.00)	0.237	1 (50.00)	1 (50.00)	0.533	1 (1.22)	0 (0.00)	1 (100.00)	0.533	1 (1.22)	0 (0.00)	1 (100.00)	0.565
2	26 (17.22)	19 (73.08)	7 (26.92)	0.093	13 (50.00)	13 (50.00)	0.998	18 (69.23)	8 (30.77)	0.237	16 (61.54)	10 (38.46)	0.533	19 (23.17)	9 (47.37)	10 (52.63)	0.533	19 (23.17)	9 (47.37)	10 (52.63)	0.565
3	75 (49.67)	53 (70.67)	22 (29.33)	0.093	38 (50.67)	37 (49.33)	0.998	51 (68.00)	24 (32.00)	0.237	39 (52.00)	36 (48.00)	0.533	46 (56.10)	26 (56.52)	20 (43.48)	0.533	46 (56.10)	26 (56.52)	20 (43.48)	0.565
4	48 (31.79)	25 (52.08)	23 (47.92)	0.093	25 (52.08)	23 (47.92)	0.998	33 (68.75)	15 (31.25)	0.237	21 (43.75)	27 (56.25)	0.533	16 (19.51)	7 (43.75)	9 (56.25)	0.533	16 (19.51)	7 (43.75)	9 (56.25)	0.565
N stage																					
0	30 (19.87)	19 (63.33)	11 (36.67)	0.390	12 (40.00)	18 (60.00)	0.390	17 (56.67)	13 (43.33)	0.469	13 (43.33)	17 (56.67)	0.821	13 (15.85)	8 (61.54)	5 (38.46)	0.821	13 (15.85)	8 (61.54)	5 (38.46)	0.719
1	28 (18.54)	17 (60.71)	11 (39.29)	0.390	15 (53.57)	13 (46.43)	0.390	19 (67.86)	9 (32.14)	0.469	15 (53.57)	13 (46.43)	0.821	16 (19.51)	8 (50.00)	8 (50.00)	0.821	16 (19.51)	8 (50.00)	8 (50.00)	0.719
2	83 (54.97)	54 (65.06)	29 (34.94)	0.390	43 (51.81)	40 (48.19)	0.390	58 (69.88)	25 (30.12)	0.469	44 (53.01)	39 (46.99)	0.821	45 (54.89)	21 (46.67)	24 (53.33)	0.821	45 (54.89)	21 (46.67)	24 (53.33)	0.719
3	10 (6.62)	9 (90.00)	1 (10.00)	0.390	7 (70.00)	3 (30.00)	0.390	8 (80.00)	2 (20.00)	0.469	5 (50.00)	5 (50.00)	0.821	8 (9.75)	5 (62.50)	3 (37.50)	0.821	8 (9.75)	5 (62.50)	3 (37.50)	0.719
Grade																					
1	47 (31.13)	24 (51.06)	23 (48.94)	0.026	28 (59.57)	19 (40.43)	0.026	31 (65.96)	16 (34.04)	0.947	27 (57.45)	20 (42.55)	0.335	29 (35.37)	16 (55.17)	13 (44.83)	0.335	29 (35.37)	16 (55.17)	13 (44.83)	0.355
2	83 (54.97)	58 (69.88)	25 (30.12)	0.026	41 (49.40)	42 (50.60)	0.026	57 (68.67)	26 (31.33)	0.947	42 (50.60)	41 (49.40)	0.335	43 (52.44)	23 (53.49)	20 (46.51)	0.335	43 (52.44)	23 (53.49)	20 (46.51)	0.355
3	21 (13.90)	17 (80.95)	4 (19.05)	0.026	8 (38.10)	13 (61.90)	0.026	14 (66.67)	7 (33.33)	0.947	8 (38.10)	13 (61.90)	0.335	10 (12.19)	3 (30.00)	7 (70.00)	0.335	10 (12.19)	3 (30.00)	7 (70.00)	0.355
Keratinization																					
yes	87 (57.62)	50 (57.47)	37 (42.53)	0.015	42 (48.28)	45 (51.72)	0.015	58 (66.67)	29 (33.33)	0.787	16 (57.14)	12 (42.86)	0.471	26 (33.33) ^f	17 (65.38)	9 (34.62)	0.471	26 (33.33) ^f	17 (65.38)	9 (34.62)	0.078
no	64 (42.38)	49 (76.56)	15 (23.44)	0.015	35 (54.69)	29 (45.31)	0.015	44 (68.75)	20 (31.25)	0.787	59 (49.58)	60 (50.42)	0.471	52 (66.67)	23 (44.23)	29 (55.77)	0.471	52 (66.67)	23 (44.23)	29 (55.77)	0.078

Table I. Cont.

	EGFR				mTOR				APOBEC3B				PTEN				pPTEN			
	ALL (%) ^a	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	NO/LOW N (%)	HIGH N (%)	P (TEST χ^2)	
HPV16 active infection ^e																				
Yes	28 (19.05)	25 (89.29)	3 (10.71)	0.002	15 (53.57)	13 (46.43)	0.426	19 (67.86)	9 (32.14)	0.882	47 (54.02)	40 (45.98)	0.385	42 (51.22)	23 (54.76)	19 (45.24)	42 (51.22)	19 (45.24)	0.511	
No	119 (80.95)	70 (58.82)	49 (41.18)	0.002	61 (51.26)	58 (48.74)	0.426	79 (66.39)	40 (33.61)	0.882	30 (46.87)	34 (53.13)	0.385	40 (48.78)	19 (47.50)	21 (52.50)	40 (48.78)	21 (52.50)	0.511	
Treatment																				
Definitive CRT or surgery + CRT	43 (28.48)	33 (76.74)	10 (23.26)	0.122	24 (55.81)	19 (44.29)	0.714	30 (69.77)	13 (30.23)	0.545	27 (62.79)	16 (37.21)	0.053	35 (42.68)	22 (62.86)	13 (37.14)	35 (42.68)	13 (37.14)	0.145	
Definitive RT or surgery + RT	87 (57.62)	55 (63.22)	32 (36.78)	0.122	42 (48.28)	45 (51.72)	0.714	60 (68.97)	27 (31.03)	0.545	37 (42.53)	50 (57.47)	0.053	35 (42.68)	16 (45.71)	19 (54.29)	35 (42.68)	19 (54.29)	0.145	
Induction CT + surgery and/or RT	21 (13.90)	11 (52.38)	10 (47.62)	0.122	11 (52.38)	10 (47.62)	0.714	12 (57.14)	9 (42.86)	0.545	13 (61.90)	8 (38.10)	0.053	12 (14.64)	4 (33.33)	8 (66.67)	12 (14.64)	8 (66.67)	0.145	
Treatment outcome																				
Alive at the last follow-up	59 (39.07)	46 (77.97)	13 (22.03)	0.038	31 (52.54)	28 (47.46)	0.930	42 (71.19)	17 (28.81)	0.016	33 (55.93)	26 (44.07)	0.632	40 (48.78)	22 (55.00)	18 (45.00)	40 (48.78)	18 (45.00)	0.111	
Treatment failure	7 (4.64)	5 (71.43)	2 (28.57)	0.038	4 (57.14)	3 (42.86)	0.930	3 (42.86)	4 (57.14)	0.016	3 (42.86)	4 (57.14)	0.632	3 (3.66)	1 (33.33)	2 (66.67)	3 (3.66)	2 (66.67)	0.111	
Local recurrence	34 (22.52)	17 (50.00)	17 (50.00)	0.038	18 (52.94)	16 (47.06)	0.930	24 (70.59)	10 (29.41)	0.016	14 (41.18)	20 (58.82)	0.632	18 (21.95)	5 (27.78)	13 (72.22)	18 (21.95)	13 (72.22)	0.111	
Distant metastases	15 (9.93)	7 (46.67)	8 (53.33)	0.038	8 (53.33)	7 (46.67)	0.930	5 (33.33)	10 (66.67)	0.016	9 (60.00)	6 (40.00)	0.632	6 (7.32)	5 (83.33)	1 (16.67)	6 (7.32)	1 (16.67)	0.111	
Death from other reasons	36 (23.84)	24 (66.67)	12 (33.33)	0.038	16 (44.44)	20 (55.56)	0.930	28 (77.78)	8 (22.22)	0.016	18 (50.00)	18 (50.00)	0.632	15 (18.29)	9 (60.00)	6 (40.00)	15 (18.29)	6 (40.00)	0.111	

CRT - concurrent chemoradiotherapy, RT - radiotherapy, CT - chemotherapy

^a Column percentage, ^b Row percentage, ^c Number of cigarettes per day x years of smoking, ^d pPTEN expression was assessed for 82 HNSCC patients, ^e 147 HNSCC patients with results of both - assessed HPV active infection status and immunohistochemical EGFR, mTOR, APOBEC3B or PTEN expression, ^f 78 HNSCC patients with results of both - assessed HPV active infection status and immunohistochemical pPTEN expression

Table II. Details of immunohistochemical staining procedure

ANTIGEN	CLONE	MANUFACTURER	ANTIGEN RETRIEVAL	INCUBATION WITH PRIMARY ANTIBODY
EGFR	H11	Dako Agilent Technologies (Denmark A/S, Glostrup, Denmark)	Proteinase K RT 10 min (Agilent Dako S3020)	1:200, 4°C, overnight
Akt	C67E7	Cell Signaling Technology (Danvers, USA)	Target Retrieval Solution TRS: pH 6.1; 96°C 1 h (Agilent Dako S1699)	1:150, 4°C, overnight
pAkt(Thr308)	–	Biorbyt Ltd. (Cambridge, UK)	Target Retrieval Solution TRS: pH 6.1; 96° 50 min (Agilent Dako S1699)	1:250, 4°C, overnight
pAkt(Ser473)	D9E XP	Cell Signaling Technology (Danvers, USA)	Target Retrieval Solution TRS: pH 6.1; 96°C 1 h (Agilent Dako S1699)	1:30, 4°C, overnight
mTOR	7C10	Cell Signaling Technology (Danvers, USA)	Target Retrieval Solution TRS: pH 6.1; 96° 45 min (Agilent Dako S1699)	1:75, 4°C, overnight
PTEN	138G6	Cell Signaling Technology (Danvers, USA)	Target Retrieval Solution TRS: pH 6.1; 96° 50 min (Agilent Dako S1699)	1:75, 1 h at 37°C
pPTEN (Ser380)	–	Invitrogen Thermo Fisher Scientific (Fremont, CA, USA)	Target Retrieval Solution TRS: pH 6.1; 96° 50 min (Agilent Dako S1699)	1:50, 4°C, overnight
APOBEC3B	–	Biorbyt Ltd (Cambridge, UK)	Target Retrieval Solution TRS: pH 6.1; 96° 20 min (Agilent Dako S2367)	1:250, 4°C, overnight

tein were used as positive controls. The primary antibodies were omitted for negative controls.

The complete results of protein expression data were obtained for 151 patients (for 4 patients there was not enough amount of material in the block), except for pPTEN where results only for 82 patients were possible to collect.

IHC evaluation

In general the staining intensity and percentage of stained tumor cells were assessed (Fig. 1). The staining intensity of EGFR, Akt, pAkt, mTOR and APOBEC3B was assessed as 0 – no, 1 – weak, 2 – moderate or 3 – strong staining. In the case of PTEN and pPTEN the following scale was used: 0 – no, 1 – weak and 2 – strong staining. Stained sections were reviewed independently by 2 researchers. For all proteins H-score was calculated according to the following formula: $H\text{-score} = (1 \times \% \text{ of cells with staining intensity } 1) + (2 \times \% \text{ of cells with staining intensity } 2) + (3 \times \% \text{ of cells with staining intensity } 3)$. We decided to use this method as the only one that takes into account heterogeneity of tumor.

For all assessed proteins we defined expression as ‘high’ – for tissues with H-score higher than cut off value or ‘low’ – for tissues with H-score equal or lower than cut off value. We used the following cut off values: 148.5 for EGFR expression, 147.5 for Akt expression, 33 for pAkt(Ser473), 183 for pAkt-

t(Thr308), 180.0 for mTOR expression, 198.0 for APOBEC3B expression, 85.0 for PTEN expression and 107.5 for pPTEN expression.

DNA isolation

DNA isolation was performed using 5 μm thick 3-5 sections cut from selected FFPE blocks. DNA was extracted manually using ReliaPrep FFPE gDNA Miniprep System from Promega Corp (Madison, WI, USA) according to manufacturer’s protocol with one own modification (overnight instead of 1 h digestion at 56°C). The purity (measured as A260/280 and A260/230 ratios) and concentration of DNA were evaluated spectrophotometrically with Biophotometer Plus (Eppendorf, Germany) with TrayCell (Hellma, Germany) according to manufacturer’s suggestions. Samples were stored at –20°C until used.

PIK3CA mutational analyses

To assess the *PIK3CA* mutational status, real-time polymerase chain reaction (qPCR) was performed using ViiA7 Real-Time PCR System (Applied Biosystems, Foster City, CA, USA). For each patient, 4 different assays were performed on the same plate: (1) *PIK3CA_775_mu* TaqMan Mutation Detection Assay (Assay ID: Hs00000831_mu) allowing for p.H1047R (c.3140A>G) detection, (2) *PIK3CA_763_mu* TaqMan Mutation Detection Assay (Assay ID: Hs00000824_mu) allowing for p. E545K (c.1633G>A) detection, (3) *PIK-*

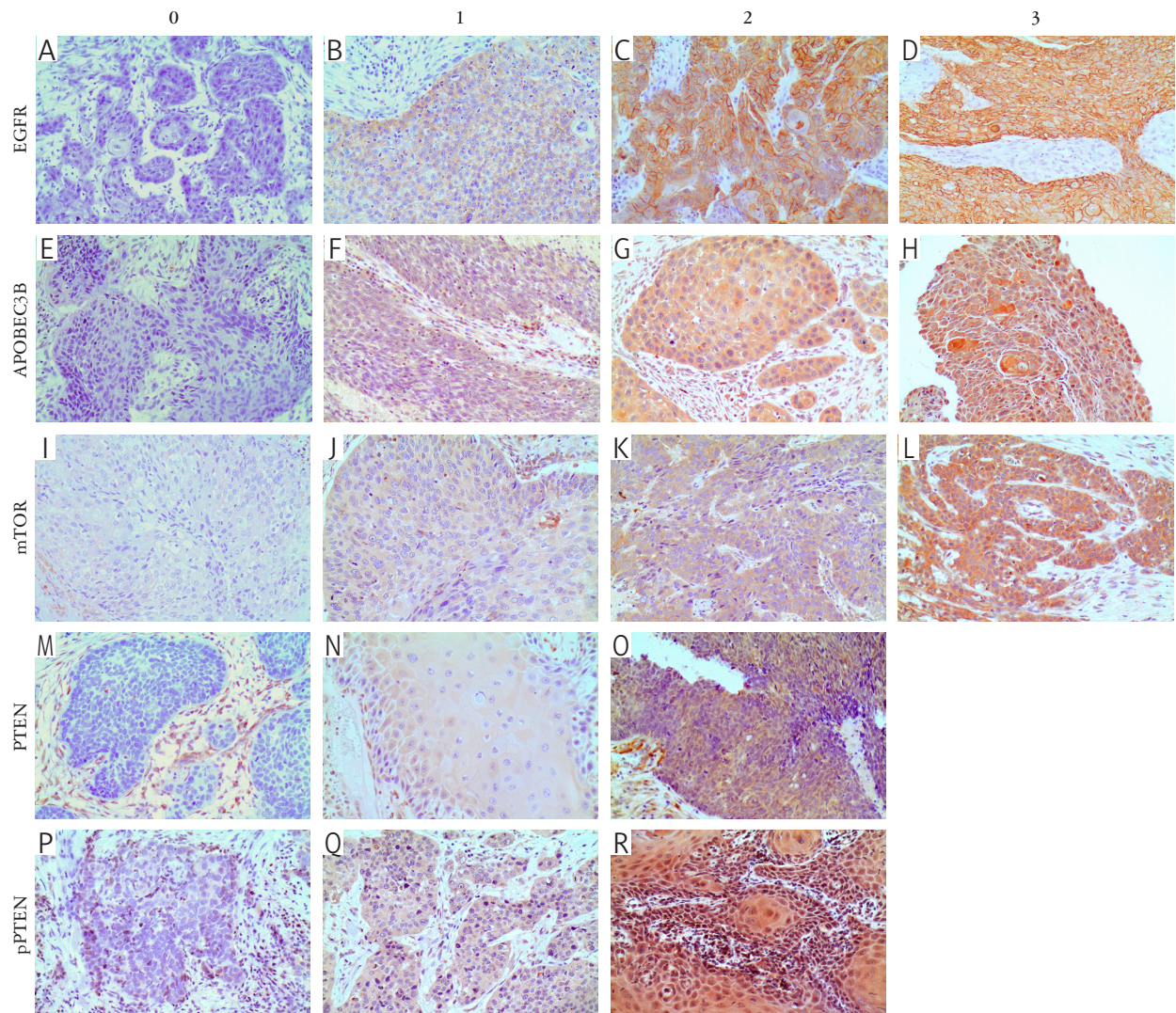


Fig. 1. Representative images of EGFR, APOBEC3B, mTOR, PTEN and pPTEN signal in HNSCC tissue based on immunohistochemical staining. Different staining intensity (for EGFR, APOBEC3B and mTOR: 0 – lack of staining, 1 – weak, 2 – moderate, 3 – strong; for PTEN and pPTEN: 0 – lack of staining, 1 – weak, 2 – strong) of EGFR (A–D), APOBEC3B (E–H), mTOR (I–L), PTEN (M–O) and pPTEN (P–R) is presented on the picture.

EGFR – epidermal growth factor receptor; *APOBEC3B* – apolipoprotein B mRNA editing enzyme catalytic subunit 3B; *mTOR* – mammalian target of rapamycin; *PTEN* – phosphatase and tensin homologue deleted on chromosome ten

3CA_760_mu TaqMan Mutation Detection Assay (Assay ID: Hs00000822_mu) allowing for p. E542K (c.1624G>A) detection and (4) *PIK3CA*_rf TaqMan Mutation Detection Reference Assay (Assay ID: Hs00001025_rf) allowing for detection of *PIK3CA* conservative fragment, which serves as a DNA quality control (indicating whether isolated DNA is of relevant quality to be amplified).

The amplification was carried out in 20 μ l reaction mixture containing 20 ng DNA, 2 μ l of one of TaqMan Mutation Detection Assays, 10 μ l of TaqMan Genotyping Master Mix, 0.4 μ l of Exogenous IPC Template DNA and 2 μ l of Exogenous IPC Mix. All reagents were bought from Applied Biosystems (Foster City, CA, USA). The qPCR cycling con-

ditions were as follows: initial denaturation at 95°C for 10 min, then 5 cycles of 92°C for 15 s and 58°C for 1 min, and finally 50 cycles of 92°C for 15 s followed by 60°C for 1 min.

Apart from *PIK3CA* fragment, internal positive control was amplified in each well due to check of eventually PCR inhibition. Each processing plate contained also 2 wells with nuclease-free water instead of DNA (no template controls). On the basis of obtained qPCR signals, the sample was classified as positive or negative (with or without *PIK3CA* mutation). We were able to determine mutational status for 152 tissues (for 3 patients obtained DNA was of very low quality and there was no alternative FFPE material).

Table III. Relations between expression of proteins involved in or regulate EGFR/PI3K/Akt/mTOR signaling in HNSCC patients

	EGFR				AKT				pAKT (Ser473)				pAKT (Thr308)			
	LOW N (%)	HIGH N (%)	P VALUE (TEST χ^2)		LOW N (%)	HIGH N (%)	P VALUE (TEST χ^2)		LOW N (%)	HIGH N (%)	P VALUE (TEST χ^2)		LOW N (%)	HIGH N (%)	P VALUE (TEST χ^2)	
All (%)	99 (65.56)	52 (34.44)			76 (50.33)	75 (49.67)			45 (29.80)	106 (70.20)			97 (64.24)	54 (35.76)		
EGFR																
low ^a					47 (47.47)	52 (52.53)			36 (36.36)	63 (63.64)			65 (65.66)	34 (34.34)		
high					29 (55.77)	23 (44.23)	0.333		9 (17.31)	43 (82.69)	0.015		32 (61.54)	20 (38.46)	0.616	
Akt																
low	47 (61.84)	29 (38.16)			17 (22.67)	58 (77.33)			48 (64.00)	27 (36.00)			27 (36.00)			
high	52 (69.33)	23 (30.67)	0.333		28 (36.84)	48 (63.16)	0.057		49 (64.47)	27 (35.53)	0.952					
pAkt(Ser473)																
low	36 (80.00)	9 (20.00)			48 (45.28)	58 (54.72)			69 (65.09)	37 (34.91)						
high	63 (59.43)	43 (40.57)	0.015		28 (62.22)	17 (37.78)	0.057		28 (62.22)	17 (37.78)	0.736					
pAkt(Thr308)																
low	65 (67.01)	32 (32.99)			27 (50.00)	27 (50.00)			17 (31.48)	37 (68.52)						
high	34 (62.96)	20 (37.04)	0.616		49 (50.52)	48 (49.48)	0.952		28 (28.87)	69 (71.13)	0.736					
mTOR																
low	55 (71.43)	22 (28.57)			36 (46.75)	41 (53.25)			20 (25.97)	57 (74.03)			52 (67.53)	25 (32.47)		
high	44 (59.46)	30 (40.54)	0.122		40 (54.05)	34 (45.95)	0.670		25 (33.78)	49 (66.22)	0.294		45 (60.81)	29 (39.19)	0.389	
PTEN																
low	52 (67.53)	25 (32.47)			47 (61.04)	30 (38.96)			29 (37.66)	48 (62.34)			46 (59.74)	31 (40.26)		
high	47 (63.51)	27 (36.49)	0.603		29 (39.19)	45 (60.81)	0.007		16 (21.62)	58 (78.38)	0.031		51 (68.92)	23 (31.08)	0.239	
pPTEN																
low	32 (76.19)	10 (23.81)			23 (54.76)	19 (45.24)			20 (47.62)	22 (52.38)			27 (64.29)	15 (35.71)		
high	28 (70.00)	12 (30.00)	0.527		22 (55.00)	18 (45.00)	0.983		21 (52.50)	19 (47.50)	0.659		18 (45.00)	22 (55.00)	0.079	
APOBEC3B																
low	73 (71.57)	29 (28.43)			55 (53.92)	47 (46.08)			33 (32.35)	69 (67.65)			77 (75.49)	25 (24.51)		
high	26 (53.06)	23 (46.94)	0.025		21 (42.86)	28 (57.14)	0.203		12 (24.49)	37 (75.51)	0.323		20 (40.82)	29 (59.18)	0.000	

Table III. Cont.

	mTOR				PTEN				pPTEN				APOBEC3B			
	LOW N (%)	HIGH N (%)	P VALUE (TEST χ^2)		LOW N (%)	HIGH N (%)	P VALUE (TEST χ^2)		LOW N (%)	HIGH N (%)	P VALUE (TEST χ^2)		LOW N (%)	HIGH N (%)	P VALUE (TEST χ^2)	
All (%)	77 (50.99)	74 (49.01)			77 (50.99)	74 (49.01)			42 (51.22)	40 (48.78)			102 (67.55)	49 (32.45)		
EGFR																
low	55 (55.56)	44 (44.44)			52 (52.53)	47 (47.47)			32 (53.33)	28 (46.67)			73 (73.74)	26 (26.26)		
high	22 (42.31)	30 (57.69)	0.122		25 (48.08)	27 (51.92)	0.603		10 (45.45)	12 (54.55)	0.527		29 (55.77)	23 (44.23)	0.025	
Akt																
low	36 (47.37)	40 (52.63)			47 (61.84)	29 (38.16)			23 (51.11)	22 (48.89)			55 (72.37)	21 (27.63)		
high	41 (54.67)	34 (45.33)	0.370		30 (40.00)	45 (60.00)	0.007		19 (51.35)	18 (48.65)	0.983		47 (62.67)	28 (37.33)	0.203	
pAkt(Ser473)																
low	20 (44.44)	25 (55.56)			29 (64.44)	16 (35.56)			20 (48.78)	21 (51.22)			33 (73.33)	12 (26.67)		
high	57 (53.77)	49 (46.23)	0.294		48 (45.28)	58 (54.72)	0.031		22 (53.66)	19 (46.34)	0.659		69 (65.09)	37 (34.91)	0.323	
pAkt(Thr308)																
low	52 (53.61)	45 (46.39)			46 (47.42)	51 (52.58)			27 (60.00)	18 (40.00)			77 (79.38)	20 (20.62)		
high	25 (46.30)	29 (53.70)	0.389		31 (57.41)	23 (42.59)	0.239		15 (40.54)	22 (59.46)	0.079		25 (46.30)	29 (53.70)	0.000	
mTOR																
low					47 (61.04)	30 (38.96)			23 (54.76)	19 (45.24)			57 (74.03)	20 (25.97)		
high					30 (40.54)	44 (59.46)	0.012		19 (47.50)	21 (52.50)	0.511		45 (60.81)	29 (39.19)	0.083	
PTEN																
low	47 (61.04)	30 (38.96)						27 (49.09)	28 (50.91)			53 (68.83)	24 (31.17)			
high	30 (40.54)	44 (59.46)	0.012					15 (55.56)	12 (44.44)	0.582		49 (66.22)	25 (33.78)	0.732		
pPTEN																
low	23 (54.76)	19 (45.24)			27 (64.29)	15 (35.71)						34 (80.95)	8 (19.05)			
high	19 (47.50)	21 (52.50)	0.511		28 (70.00)	12 (30.00)	0.582					23 (57.50)	17 (42.50)	0.021		
APOBEC3B																
low	57 (55.88)	45 (44.12)			53 (51.96)	49 (48.04)			34 (59.65)	23 (40.35)						
high	20 (40.82)	29 (59.18)	0.083		24 (48.98)	25 (51.02)	0.732		8 (32.00)	17 (68.00)	0.021					

^a Row percentage

Statistical analyses

Cut off values were calculated by minimal p value method and in the cases, where statistically significant differences for some variable was not found, median was used as a cut off point. Descriptive statistics were used for determination of means and medians of continuous variables. Relations between categorical variables were analyzed by Pearson χ^2 test. Prognostic potential was analyzed based on 5-year overall survival (OS, time from the end of therapy to death from any cause within 5 years after finishing the treatment) and 5-year disease free survival (DFS, time from the end of therapy to the first documented evidence of recurrent disease i.e. treatment failure, locoregional recurrence or distant metastasis within 5 years after finishing the treatment). Kaplan-Meier method and log-rank test were used for calculation of OS and DFS probabilities. Univariate and multivariate analyses with Cox proportional regression model were carried out for independent prognostic factors selection. All parameters which in univariate analysis were found to statistically significantly influence survival, were included into multivariate analysis. Calculations were performed using Statistica v.13.3; p value less than 0.05 was considered significant.

Results

Patients

Detailed characteristics of HNSCC patients, who had assessed IHC proteins expression, are presented in Table I. Based on simultaneous assessment of immunohistochemical p16 overexpression and HPV16 DNA presence by nested PCR and qPCR, among 151 tumors we found 28 cases with active HPV16 infection (18.54%) and 119 (78.81%) cases with no viral infection (patients infected with other HPV types were excluded from further analyses) [13].

Association between clinicopathological characteristics and expression of EGFR, mTOR, APOBEC3B, PTEN and pPTEN

In the present study we detected expression of mTOR, APOBEC3B and pPTEN in all analyzed cases, whereas expressions of PTEN and EGFR were not detected at any level in 23 (15.23%) and 7 (4.64%) tissues, respectively. Clinical and histopathological features in relation to proteins expressions are presented in Table I. Relations between clinicopathological characteristics and expressions of Akt, pAkt(Ser473) and pAkt(Thr308) have been investigated by us earlier [14].

The presence of active HPV16 infection in tumor was significantly associated only with EGFR expression ($p = 0.002$). Among HPV16 positive HNSCC significantly more tumors with low EGFR expression were noticed as compared to those with HPV negativity (89.29% vs. 58.82%). There was no significant differences identified in the level of mTOR ($p = 0.426$), APOBEC3B ($p = 0.882$), PTEN ($p = 0.471$) and pPTEN ($p = 0.078$) between HPV positive and negative tumors.

Apart from HPV16 infection, EGFR expression was found to be significantly associated with keratinization status ($p = 0.015$) and grade ($p = 0.026$). Generally, the higher grade, the less tumors with EGFR overexpression identified. To be more precise, within tumors with grade I, II or III there were 48.94, 30.12 and 19.05% of cases with high level of EGFR detected, respectively. Moreover, a significantly higher percentage of non-keratinizing tumors had low level of EGFR expression (76.56%) detected than keratinizing ones (57.47%). mTOR, in turn, was related only to gender. Females significantly ($p = 0.001$) more often had high expression of mTOR identified than males (79.17 vs. 43.31%, respectively). Further, APOBEC3B expression was significantly associated with treatment outcome ($p = 0.016$) and PTEN expression with Karnofsky performance status of patients and localization of tumor. Patients in a good performance status had significantly more often (67.19%, $p = 0.001$) low PTEN expression detected, contrary to those in a worse condition, who had mostly (60.92%) high level of PTEN detected. Moreover, low expression of PTEN was statistically significantly less often ($p = 0.000$) identified in laryngeal tumors (27.59%) as compare to oral, oropharyngeal and hypopharyngeal tumors, in which low PTEN expression was detected in 66.67, 65.08 and 66.67% of cases, respectively. We did not observed any significant relations between clinicopathological features and pPTEN expression.

Relations between proteins involved in EGFR/PI3K/Akt/mTOR signaling

We also checked for the relations between analyzed proteins, including Akt and its two phosphorylated forms – pAkt(Ser473) and pAkt(Thr308). There were few significant associations identified (Table III). Tumors characterized with high expression of EGFR had significantly more often high expression of pAkt(Ser473) as compare to tumors with low EGFR expression (82.69 vs. 63.64%, respectively, $p = 0.015$). Tumors with EGFR overexpression had also significantly less often low expression of APOBEC3B than those with low EGFR expression (55.77 vs. 73.74%, respectively, $p = 0.025$). Additionally, expression of APOBEC3B was significantly correlated with pAkt(Thr308) ($p = 0.000$) and pPTEN

($p = 0.021$) levels in cancer tissue. Cancers with high level of APOBEC3B had significantly more often also high level of pAkt(Thr308) and high level of pPTEN (59.18 and 68.00%, respectively), contrary to those with low APOBEC3B expression, which had more often low pAkt(Thr308) and low pPTEN signals detected (75.49 and 59.65%, respectively).

Moreover, we observed significant relation between PTEN and Akt ($p = 0.007$), pAkt(Ser473) ($p = 0.031$) and mTOR ($p = 0.012$) immunohistochemical expressions. Tumors with low PTEN signal had more often low Akt (61.04%) and low mTOR (61.04%) expressions, as compare to tumors with high level of PTEN expression, which had mostly high levels of Akt and mTOR (60.81 and 59.46%, respectively). Unexpectedly, within cancers with low PTEN expression the percentage of tumors highly expressed pAkt(Ser473) was lower than among tumors with high PTEN expression (62.34 vs. 78.38%, respectively).

We did not found any other relations between analyzed proteins (Table III).

PIK3CA mutations

We analyzed presence of the most frequent mutations within *PIK3CA* gene – p.E542K and p.E545K in exon 9 (helical domain) and p.H1047R in exon 20 (kinase domain). We identified 5 mutated tissues in total (3.29%). In the HPV16 positive subgroup

point mutations only within *PIK3CA* helical domain were detected. To be more detailed, we identified c.1633G>A (p.E545K) mutation in one case and c.1624G>A (p.E542K) mutation in another one. Hence, the percentage of HPV16 positive tumors with changed *PIK3CA* sequence was 7.14%. On the other hand, in HPV negative subgroup the frequency was lower (2.5%). We identified 2 tumors carrying c.3140A>G (p.H1047R) mutation within kinase domain and 1 carrying c.1633G>A (p.E545K) mutation within helical domain of *PIK3CA* gene. However, the difference in mutational rate between HPV16 positive and HPV negative HNSCCs did not reach statistical significance.

Survival analyses

Survival analyses were performed in a group of 151 patients for EGFR, Akt, pAkt(Ser473), pAkt(Thr308), mTOR, APOBEC3B and PTEN (4 tumors with material not sufficient for obtaining IHC data were excluded) and in the case of pPTEN in the subgroup of 82 patients. The mutational status of *PIK3CA* gene could not be included into survival analyses because of low number of positive cases. The results of univariate analysis are presented in Table IV. The analysis has shown that patients with high EGFR expression had 1.7 times higher probability of death ($p = 0.027$) and 2.1 times of higher risk of cancer progression (recurrence, developing

Table IV. Univariate Cox proportional hazard model for 5-year overall and disease free survivals of HNSCC patients

	5-YEAR OVERALL SURVIVAL				5-YEAR DISEASE FREE SURVIVAL			
	ALIVE/ALL PATIENTS (%) ^a	HR	95% CI	P VALUE	ALIVE/ALL PATIENTS (%) ^a	HR	95% CI	P VALUE
EGFR								
Low	55/99 (55.56)	1.000			73/99 (73.74)	1.000		
High	18/52 (34.62)	1.658	1.059-2.595	0.027	26/52 (50.00)	2.142	1.242-3.694	0.005
mTOR								
Low	38/77 (49.35)	1.132	0.726-1.766	0.581	50/77 (64.94)	1.200	0.693-2.069	0.506
High	35/74 (47.30)	1.000			49/74 (66.22)	1.000		
PTEN								
Low	40/77(51.95)	1.000			53/77 (68.83)	1.000		
High	33/74 (44.59)	1.150	0.737-1.793	0.535	46/74 (62.16)	1.208	0.700-2.084	0.492
pPTEN								
Low	24/42 (57.14)	1.093	0.563-2.123	0.790	32/42 (76.19)	1.000		
High	23/40 (57.50)	1.000			25/40 (62.50)	1.510	0.677-3.364	0.306
APOBEC3B								
Low	53/102 (51.96)	1.000			74/102 (72.55)	1.000		
High	20/49 (40.82)	1.357	0.856-2.151	0.196	25/49 (51.02)	2.026	1.173-3.499	0.011

HR – hazard ratio; CI – confidence interval

Table V. Multivariate Cox proportional hazard model in HNSCC patients

	HR	95% CI	p value ^a
5-YEAR OVERALL SURVIVAL			
Gender			
Male	2.530	1.013-6.315	0.047
Female	1.000		
T stage			
1 + 2	1.000		
3 + 4	2.219	1.062-4.635	0.034
pAkt(Thr308) expression			
Low	1.000		
High	1.843	1.165-2.915	0.009
HPV16 active infection			
Present	1.000		
Absent	3.765	1.510-9.388	0.004
5-YEAR DISEASE FREE SURVIVAL			
T stage			
1 + 2	1.000		
3 + 4	3.060	1.102-8.498	0.032
HPV16 active infection			
Present	1.000		
Absent	7.276	1.767-29.970	0.006
pAkt(Thr308) expression			
Low	1.000		
High	2.245	1.293-3.896	0.004

HR – hazard ratio; CI – confidence interval

^ap values were examined by the Cox proportional hazard model for multivariate survival analysis

metastasis or treatment failure, $p = 0.005$) than patients with low level of EGFR expression. Additionally, a statistically significant impact of APOBEC3B expression on 5-year DFS was demonstrated ($p = 0.011$). Patients having tumors with high APOBEC3B expression detected, had over 2 times higher risk of cancer progression than those with lower level of this protein detected in cancer tissue. A significant impact of pAkt(Ser473) and pAkt(Thr308) but no total Akt level on OS and DFS in the same group of patients have been demonstrated by us earlier [14]. PTEN, pPTEN and mTOR levels, in turn, did not influenced survival. Clinical and histopathological features significantly affected OS and DFS were also identified by us earlier [13]. For 5-year OS gender, performance status (in Karnofsky scale), the level of smoking, T and N stages as well as the presence of active HPV16 infection were found statistically significant. For 5-year DFS, in turn, significant were gender, age, levels of smok-

ing and drinking, T stage, grade and active HPV16 infection.

Due to finding independent prognostic factors in the analyzed group of patients, multivariate analysis was performed. All variables exhibiting a significant impact on OS and DFS in univariate analysis were included into multivariate analysis, which results are presented in Table V. It revealed that independent favorable prognostic factors for OS were: (1) female gender ($p = 0.047$, men had over 2.5 times higher risk of death within the 5 years from the end of the treatment), (2) lower T stage ($p = 0.034$, patients having tumors in higher T stage had almost 2.3 times higher risk of death), (3) low expression of pAkt(Thr308) ($p = 0.009$, patients with tumors expressing pAkt(Thr308) at high level had 1.8 times higher risk of death) and (4) active HPV16 infection presence ($p = 0.004$, patients with no active HPV infection had about 3.8 times higher risk of death). For DFS, in turn, independent prognostic factors were: (1) T stage ($p = 0.032$, patients with tumors in higher T stage had 3 times higher risk of cancer progression within 5 years after treatment), (2) pAkt(Thr308) expression ($p = 0.004$, patients with tumors expressing pAkt(Thr308) at high level had over 2.2 times higher risk of cancer progression) and (3) active HPV16 infection ($p = 0.006$, patients with no active HPV infection had almost 7.3 times higher risk of cancer progression).

We also analyzed an impact of expression of proteins involved in EGFR/PI3K/Akt/mTOR pathway on survival separately for HPV16 positive and HPV negative patients (Fig. 2). In the subgroup of patients without active viral infection, statistically significant differences in DFS were found between patients having tumors with high and low APOBEC3B ($p = 0.039$) and Akt ($p = 0.049$) expression levels. Additionally, a significant influence on both OS ($p = 0.011$) and DFS ($p = 0.005$) for HPV negative patients with different pAkt(Thr308) status was demonstrated. Interestingly, although there was no significant survival improvement in relation to total Akt expression in the whole HNSCC patients group, a favorable impact of low Akt expression level on DFS was demonstrated for subgroup without HPV active infection detected.

On the other hand, in the subgroup of HPV16 positive patients only statistically significant ($p = 0.039$) differences in DFS were found between patients with different APOBEC3B level of expression (patients having tumors with low APOBEC3B expression detected survived longer). Expression of other analyzed proteins have not significant impact on OS and DFS in HPV16 positive patients. However it is worth to emphasize that for some proteins (including pAkt(Thr308)) similar trends as in HPV negative cancers were observed (data not

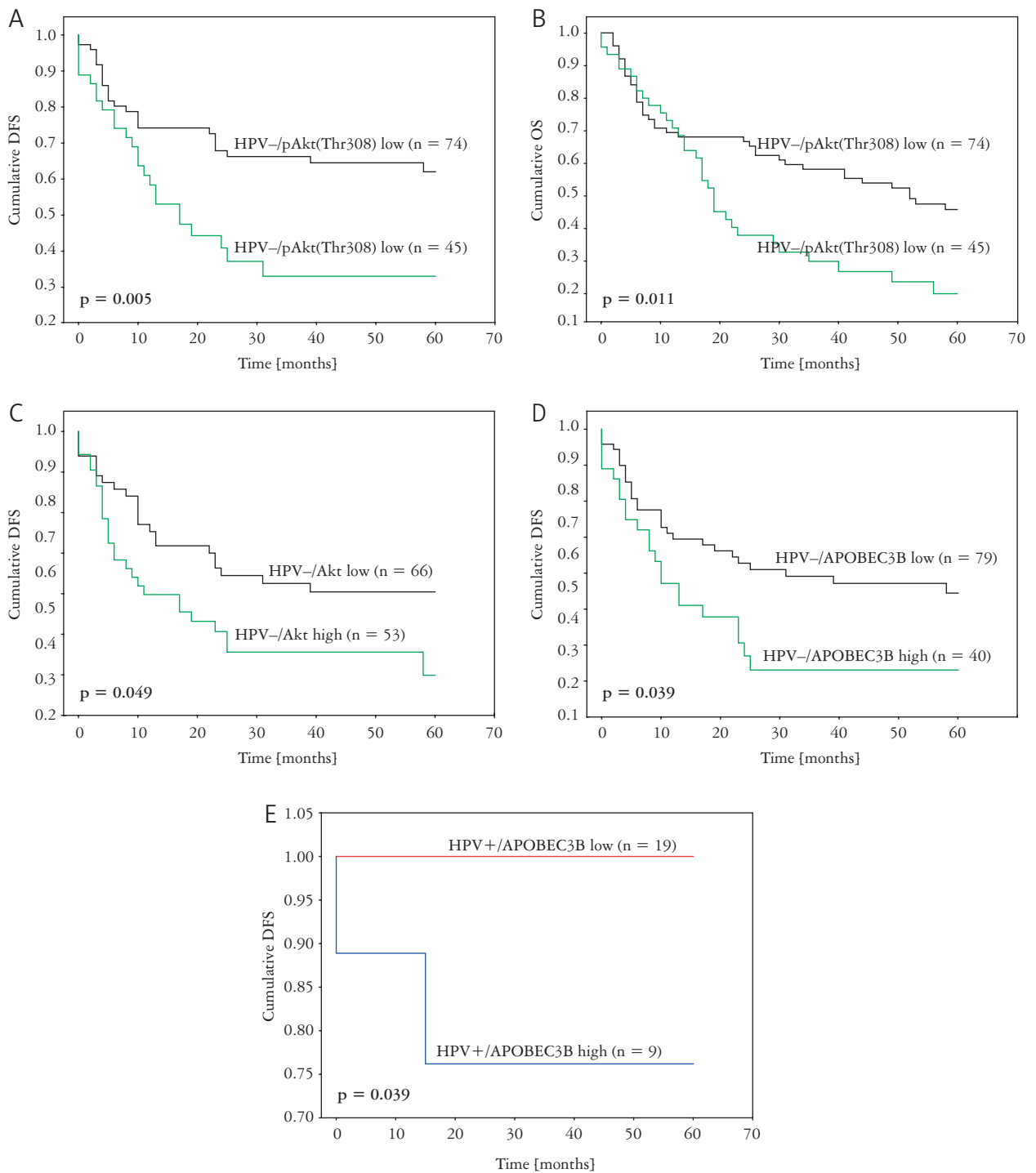


Fig. 2. Cumulative OS and DFS curves of HNSCC patients. Curves for HPV negative HNSCC patients classified by expression of (A and B) pAkt(Thr308), (C) Akt and (D) APOBEC3B as well as for HPV16 positive patients classified by (E) APOBEC3B status are presented on the graph. Only statistically significant relations have been shown. HPV16 active infection was assessed on the basis of simultaneous HPV16 DNA presence and p16 overexpression in tumor tissue. Protein expressions were assessed immunohistochemically.

HNSCC – head and neck squamous cell carcinoma; OS – overall survival; DFS – disease free survival; HPV – human papillomavirus; Akt – protein kinase B; APOBEC3B – apolipoprotein B mRNA editing enzyme catalytic subunit 3B

shown). Hence, there is a high probability that lack of statistical significance in their cases was the effect of too low number of HPV16 positive cases.

Therefore, increasing the number of patients enrolled to the study would probably verify whether emerging trends are real.

Discussion

Activation of EGFR/PI3K/Akt/mTOR pathway occurs in many cancers, including HNSCC. Still little is known about its functioning according to HPV status. Understanding the changes in EGFR/PI3K/Akt/mTOR pathway related to HPV infection are extremely important, as they may represent new opportunities for therapeutic intervention. All the more so, because nowadays many inhibitors targeting this pathway are tested in preclinical and clinical studies [15, 16].

EGFR

In the present study we have found EGFR overexpression in 34.3% of HNSCC. This percentage is similar to those noted by Numico *et al.* [17] and Owusu-Afriyie *et al.* [18]. These authors, in the groups of 149 patients with HNSCC and 154 patients with non-oropharyngeal HNSCC, respectively, have demonstrated EGFR overexpression in 35.0% and 29.4% cancers. However, in the other papers concerning HNSCC, the percentage of EGFR positivity was significantly higher, in the range from 45.2% [19] to 100% [20]. One of the reason of above-mentioned discrepancies may be related to different immunoscores and cut off points used for distinguish tumors with EGFR overexpression or its lack. Some authors decided to divide analyzed tumors based on EGFR positivity/negativity [17, 21], but others on EGFR overexpression/lack of overexpression [18, 22, 23]. Moreover, there are different cut-off points applied to distinguish EGFR overexpression/lack of overexpression. Taberna *et al.* [23], Murray *et al.* [24] and Bernardes *et al.* [25] assumed as a cut off point weak or moderate staining or strong staining in more than 10% of tumor cells. In turn, Owusu-Afriyie *et al.* [18] applied immunoreactive score (multiplication of intensity of staining and percentage of positive staining cells, range from 0 to 12) with cut off point at the level of 4, and Atkins *et al.* [26] categorized analyzed tumors according EGFR expression into four classes: no, weak, moderate and strong staining.

There are also conflicting results concerning correlation between EGFR expression and epidemiological, clinical and histopathological features. Some authors, similar to us, did not find significant relation between EGFR expression and patient's age, smoking and alcohol abuse [17, 27, 28], TN stages [17, 21, 22], grade [21] or degree of keratinization [19]. However, some researchers reported contrary results, demonstrating significant higher percentage of tumors with EGFR overexpression in older patients [20], smokers [21, 29] and among T3-T4 cancers [19] as compared, respectively, to younger patients, non-smokers or tumors with lower T stage. Other authors found significant correlation between EGFR

expression and grade, i.e. higher number of tumors with EGFR overexpression in grade 3 [17, 28]. These opposite results can be partly explain by the differentiation in EGFR expression in specified localization of HNSCC. Srivastava *et al.* [27] noticed higher expression in oral cancers than in other localization of HNSCC, however we did not confirm such relation in the present study.

It should be also pointed out, that in the present study we have shown significant relation between HPV infection and EGFR expression. Tumors with HPV16 active infection were characterized by significantly lower EGFR expression. This finding is in agreement with results presented by other authors. Taberna *et al.* [23], in the group of 788 oropharyngeal cancers, reported significantly lower percentage of tumors with EGFR expression among HPV positive cases (37.7%) than in those with HPV negativity (70.8%). This type of relation was also confirmed by Sivarajah *et al.* [30]. They demonstrated inverse relationship between EGFR expression and CDKN2A levels (gene for p16) in HPV positive and negative HNSCC cell lines. Additionally, in the present study in the subgroup of HPV16 positive patients, EGFR expression did not influence OS and DFS. These all results suggest that the effectiveness of cetuximab (used as a substitute for cisplatin in concomitant chemoradiotherapy in one of de-escalation strategies in the treatment of patients with HPV positive oropharyngeal SCC) may be questioned, because the expression of EGFR in HPV positive HNSCC is lower than in HPV negative ones. This suggestion is confirmed by the results of two phase III completed trials (RTOG 1016 and De-ESCALaTE), in which HPV positive oropharyngeal SCC patients were randomly assigned to receive radiotherapy with concurrent cetuximab or cisplatin. These studies showed significant better OS and locoregional control in the arm with cisplatin [31, 32]. On the other hand, we found that HNSCC patients with EGFR overexpression have poorer prognosis as compared to those with no/low EGFR expression, irrespective of HPV status. Similarly, other authors reported EGFR overexpression as negative prognostic factor in HNSCC patients treated with radiotherapy or chemoradiotherapy [23, 30, 33]. Taking into account all above mentioned results, it may be that cetuximab can be more effective in HPV negative patients.

On the other hand, in the present study we have found significant correlation between high expression of EGFR and high expression of pAkt(Ser473). High expression of pAkt(Ser473) is associated with active form of Akt enzyme and had in our earlier study negative influence patients survival [14]. Therefore, summarized this part of discussion, it should be pointed out that the question about prognostic value of EGFR expression is still open and the further

studies should be focus on validation of immunohistochemistry staining and scoring as well as on explaining the differences in EGFR expression between HPV positive and negative cancers.

PIK3CA gene and APOBEC3B

PIK3CA is one of the most commonly mutated and extensively studied oncogenes in various types of human cancer, including HNSCC. In this study we analyzed presence of the most frequent mutations within *PIK3CA* gene – p.E542K and p.E545K within helical domain and p.H1047R within kinase domain, representing 73% of *PIK3CA* mutations [34]. Data has shown that the rate of *PIK3CA* mutations in HNSCC is rather low. Cohen *et al.* [35] found *PIK3CA* alterations in 10.8% of oral SCC, Kozaki *et al.* [36] in 7% of oral cancer samples and Murugan *et al.* [37] in 5% of tumor samples from different sites of head and neck region. Also in our study low number of tumors harboring *PIK3CA* mutations (3.29%) was identified.

Despite the low prevalence of *PIK3CA* mutations in HNSCC, it is worth to emphasize, that the significant differences in their frequency and distribution have been observed between HPV positive and negative tumors. Interestingly, *PIK3CA* mutations in HPV positive HNSCCs are concentrated in helical domain, whereas HPV negative tumors have mutations throughout the entire gene [34]. Stransky *et al.* [38] found that 27% of HPV positive and 5% of HPV negative samples harbored *PIK3CA* mutations. Nichols *et al.* [39] observed similar relations. They detected significantly lower frequency of activating *PIK3CA* mutations in HPV negative (10%, 3 cases at codon 1047 and 1 at codon 542) as compared to HPV positive (28%, 7 cases with mutations at codon 542, 5 at codon 545, and 1 at codon 1047) tumors. Moreover, they noticed that HPV positive patients were more likely to harbor mutations at codons 542 and 545 (12 of 13 mutations), while 3 of 4 mutations in HPV negative tumors occurred at codon 1047. In our study quite lower frequency of mutated HPV negative (2.5%) and HPV16 positive (7.14%) samples were detected, however the distribution of genetic changes was similar to this identified by Nichols *et al.* In HPV negative tumors we found mutations in both kinase and helical domains (2 tumors with p.H1047R and 1 with p.E545K mutations) and in HPV16 positive ones alterations only within *PIK3CA* helical domain (p.E545K mutation in one case and p.E542K mutation in another one) were observed.

Taking together, our and presented in the literature data have shown that the *PIK3CA* gene exhibited a higher number of helical domain mutations in the HPV positive population, however these results need to be verified in larger group of patients. This ob-

servation may suggest that it exists some HPV-specific mechanism leading to genetic instability in *PIK3CA* gene. There are some studies suggesting that the higher rate of specific *PIK3CA* mutations in HPV positive tumors could be the effect of APOBEC3B action.

APOBEC3B is a member of human APOBEC3 family. Its fundamental biochemical role is DNA cytosine to uracil deamination activity [40] and the main biological function is to protect human cells against retroviruses and retrotransposons [41]. The APOBEC3B recognizes TCW motifs in DNA (5'-TCA and 5'-TCT trinucleotide motifs) causing C>T and C>G changes [42]. It has been shown that the APOBEC3B mutation signature is specifically enriched in different types of tumors, including head and neck [43]. It is important to notice that mutations within helical domain of *PIK3CA* (p.E542K and p.E545K – they are TCW type) may, then, be caused by APOBEC3B and p.H1047R within kinase domain not, because it is not TCW type.

Henderson *et al.* [9] assessed hot spot mutations in *PIK3CA* gene. They found that APOBEC3B expression was elevated in HPV positive HNSCC. Additionally, they observed significantly more TCW mutations of *PIK3CA* (p.E542K and p.E545K) in HPV positive tumors comparing to HPV negative ones. In HPV positive HNSCC mutations of *PIK3CA* were almost exclusively of the TCW type, with the majority occurring at the helical domain, while no p.H1047R mutation was seen. In HPV negative HNSCC, in turn, only 22 of 48 *PIK3CA* mutations were TCW and they detected 8 p.H1047R mutations. They observed that in tumors with low APOBEC activity, *PIK3CA* was equally likely to be mutated at the kinase and helical domains. Summing up, they demonstrated that in HPV-induced HNSCC APOBEC3B activity is responsible for the generation of helical domain hot spot mutations (p.E542K, p.E545K) in the *PIK3CA* gene. Our results are in agreement with mentioned above. Although we have not observed any significant differences in the level of APOBEC3B between HPV positive and negative tumors, we found high APOBEC3B expression in 2 HPV16 positive tumors with *PIK3CA* mutations (both in helical domain), whereas 3 HPV negative tumors harboring *PIK3CA* mutations had low level of APOBEC3B expression.

Vieira *et al.* [10] also demonstrated the higher APOBEC3B levels in head and neck HPV positive than in HPV negative cancers. They demonstrated that high-risk (but not low-risk) E6 is sufficient for the induction of APOBEC3B expression in keratinocytes and that continuous expression of E6 is required to maintain higher APOBEC3B levels in HPV positive cancer cell lines. Mori *et al.* [44, 45] have found that E6 induces upregulation of APOBEC3B through increased levels of TEAD family.

In analyzed group of patients higher expression of APOBEC3B was observed significantly more often among patients, who revealed distant metastases and among people with treatment failure, contrary to healthy patients at the last follow-up, but also people with local recurrences or died from non-cancer reasons. Moreover, we demonstrated a statistically significant impact of APOBEC3B expression on 5-year DFS. The statistical significance in DFS was maintained also when we performed separate analyses in the subgroup of HPV16 positive and HPV negative patients. Interestingly, APOBEC3B was the only protein in our analysis, which turned out to influence survival in patients with active viral infection. Tsuboi *et al.* [46] in breast cancer patients have not found any relation between APOBEC3B expression and survival, however in their group high APOBEC3B expression was associated with progression of lymph node metastasis and grade. Taking our and Tsuboi *et al.* data together, it seems that high expression of APOBEC3B may stimulate some changes leading to progression of cancer disease with revealing metastases and treatment resistance. However, this hypothesis needs to be verified in further studies.

To sum up, PI3K and APOBEC3B may represent an important predictive biomarkers and therapeutic targets particularly in HPV positive HNSCC patients. Targeting them with molecular agents may be the mechanism to improve cure rates and decrease treatment toxic effects in this rapidly growing cohort of patients. However, further researches are needed to explain the mechanism of HPV-related APOBEC3B overexpression in HNSCC patients and the potential role of APOBEC3B in inducing *PIK3CA* mutations in their tumors.

mTOR

mTOR is a key downstream regulator of PIK3 pathway, which regulates cell growth, proliferation and progression of cancer. However, in the present study we have not found significant relations between mTOR expression and HPV16 presence or patients' survival. Similar to us, Kiessling *et al.* [47] in 184 patients with oropharyngeal SCC did not report significant influence of mTOR expression on survival, however, contrary to us, they found significantly higher incidence of mTOR overexpression among p16 negative tumors. In turn, some authors, in the groups of patients with oropharyngeal cancers [48, 49], have reported opposite results, i.e. inferior survival for patients with tumors overexpressing mTOR. It is difficult to indicate clearly the reasons for the described discrepancies, but one should pay attention to the heterogeneity of the studied groups in terms of size, clinical stage or treatment regimes. It should be also noticed that in above-mentioned reports, HPV infection was assessed by p16 immunoex-

pression. This protein is a surrogate marker of HPV presence and its use is related to risk of false positive results obtainment. On the other hand, taking into account the possibility of treatment with mTOR inhibitors, such as everolimus [48] or rapamycin [50] in HNSCC patients, the question about mutual relation between HPV infection and mTOR expression requires further studies.

PTEN and pPTEN

PTEN is a tumor suppressor gene encodes a lipid and protein phosphatase that is involved in regulation of a variety signaling pathways, including PI3K/Akt pathway. The main mechanism of tumor suppression by PTEN is the maintenance of cellular PIP-3 at low levels, thus inhibiting the PI3K/Akt pathway [6]. Several phosphorylation sites have been identified in PTEN, such as Ser380, Thr382 and Thr383 [51]. Phosphorylation of PTEN at residues Ser380/Thr382/383 leads to loss of phosphatase activity and tumor suppressor function [52]. We identified 48.8% of HNSCC tumors with high level of pPTEN. Yang *et al.* [52] similarly assessed by IHC the phosphorylation of PTEN but throughout the various stages of gastric cancer. They concluded that reduced expression of PTEN and increased PTEN phosphorylation at residues Ser380/Thr382/383 could contribute to gastric carcinogenesis. Probably similar effect might be seen in HNSCC, however further studies are needed to verify this hypothesis.

Generally, PTEN has been found frequently inactivated in various human cancers [53]. A loss/decrease of PTEN expression results in PI3K/Akt/mTOR pathway activation. The majority of *PTEN* gene dysfunctions has been attributed to mutations, loss of heterozygosity (LOH) or epigenetic silencing, but the data on their frequency are inconsistent [54, 55, 56]. Not all researchers checked for genetic changes. Because majority of genetic alterations cause loss or decrease of PTEN expression, also this parameter is widely analyzed. Loss of PTEN expression have been demonstrated, then, in 23 to 61% of HNSCC samples [47, 57, 58]. In analyzed by us group of patients PTEN expression was not detected at any level only in 15.3% tissues.

We analyzed protein expressions in relation to HPV status. We have not found any significant differences in the level of PTEN nor pPTEN between HPV positive and negative tumors. However, there are some studies where significance has been demonstrated. Chun *et al.* [12] assessed the expression of PTEN (evaluated by IHC) in 65 tonsillar SCC tumors. Negative PTEN expression was significantly more frequently observed in HPV negative than positive cancers (57% vs. 27%, respectively). In total, PTEN expression was lost in 47% of analyzed tumors, what is much higher than we identi-

fied (15.3%). On the other hand, when we analyze tumors with low (not loss of) PTEN expression, we found higher frequency (51.0%) than Squarize *et al.* [59], who identified 31.2% of HNSCCs exhibiting reduced PTEN expression.

There could be many reasons of mentioned frequency discrepancies. There are differences in experimental groups and experiments designing, as well as methodological differences concerning IHC staining procedure and scoring systems or cut off points used for qualification of sample as positive or negative.

In our study PTEN and pPTEN expressions have not influenced OS and DFS in the whole group, neither in HPV16 positive and HPV negative HNSCC patients, when analyzed separately. Similar results were obtained by Kiesling *et al.* [47]. In their group of patients, PTEN also did not have significant impact on survival in patients with HPV associated oropharyngeal SCC. However, the lack/decreased PTEN expression may possibly results in more aggressive tumors and poor prognosis, due to loss of its suppressor function and activation of PI3K/Akt/mTOR signaling. Indeed, there are many studies, in which PTEN association with survival in HNSCC patients have been proved. Lee *et al.* [57] showed that absence of PTEN expression was independent prognostic indicator for clinical outcome in tongue squamous cell carcinoma patients and da Costa *et al.* [60] demonstrated the negative impact of low PTEN expression on PFS and OS in patients treated with chemotherapy plus cetuximab. Finally, Snietura *et al.* [61] have demonstrated that low PTEN expression was associated not only to unfavorable LRC, but also to lack of improvement in LRC from accelerated fractionation. PTEN expression turned out to be one of four parameters significantly and independently related to LRC.

Many studies on head and neck cancer patients also analyzed the association between PTEN expression and clinical and histopathological parameters, but the data are again not consistent. Some researchers revealed the significant association between no/low PTEN expression and T stage, N stage and/or grade [58, 62]. However, there are studies where such relations were not found [61, 63], similarly to us. On the other hand, in our study expression of PTEN was significantly associated with cancer site. In laryngeal tumors we observed significantly less often decreased PTEN expression (27.59%) as compare to oral, oropharyngeal and hypopharyngeal tumors, in which low PTEN level was detected in 66.67, 65.08 and 66.67% of cases, respectively. Snietura *et al.* [61] observed opposite results (slightly more laryngeal than oral cavity/oropharyngeal tumors had low expression of PTEN: 62.2 vs. 57.3%, respectively) and Ahmed *et al.* [62] highly significant downregulation of PTEN demonstrated in tumors of the oral cavity compared

with laryngeal and pharyngeal cancer tissues. All mentioned variances might result again from different number of HNSCC cases enrolled in the particular studies as well as different criteria of qualification to the study and some methodological discrepancies.

Apart from correlations with clinicopathological features, we checked for associations between proteins involved in EGFR/PI3K/Akt/mTOR pathway. Some studies have shown expected significant correlation between pAkt(Ser473) positivity and loss of PTEN expression [58]. Surprisingly, we presented opposite results. In analyzed by us group of HNSCC patients, within cancers with low PTEN expression the percentage of tumors highly expressed pAkt(Ser473) was significantly lower than among tumors with high PTEN expression (62.34 vs. 78.38%, respectively). Similar observations have been already published. Turk *et al.* [63] detected a relationship between high pAkt1 staining and normal (not decreased) expression of PTEN. It should be take into account the possibility that in some tumors PTEN does not function properly due to point mutations or other mechanisms, which do not cause large deletions or protein loss (therefore it is not possible to catch such cases by IHC – PTEN staining is seen but the protein does not work). Additionally, Akt activation may be stimulated by proteins not affected PTEN expression. In the literature an association with EGFR has been also analyzed. We have not found any relations between PTEN and EGFR status, what is in agreement with othe authors [60, 61].

The published data suggest that PTEN and pPTEN may be a candidates for valuable prognostic and/or predictive biomarkers in HNSCC patients, however additional investigation has to be performed, since prior studies have yielded highly discordant results.

Conclusions

Understanding the changes in EGFR/PI3K/Akt/mTOR pathway related to HPV infection are extremely important because may represent new opportunities for therapeutic intervention. To the best of our knowledge, this is the first report of such comprehensive analysis of EGFR/PI3K/AKT/mTOR pathway components performed in HPV16 positive and HPV negative HNSCC patients. In the present study we analyzed the frequency of *PIK3CA* mutations and expression of 8 proteins involved or regulate EGFR/PI3K/Akt/mTOR signaling as well as their prognostic potential. Obtained results suggest the existence of significant differences in EGFR/PI3K/Akt/mTOR pathway functioning between HNSCC patients with different HPV status. Further understanding the molecular differences in functioning of EGFR/PI3K/Akt/mTOR pathway in HPV-de-

pendent and non-dependent tumors (especially concerning EGFR, Akt and pAkt expressions) may help in individualization of anticancer therapy and in the consequence – improve results of treatment and patients survival.

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